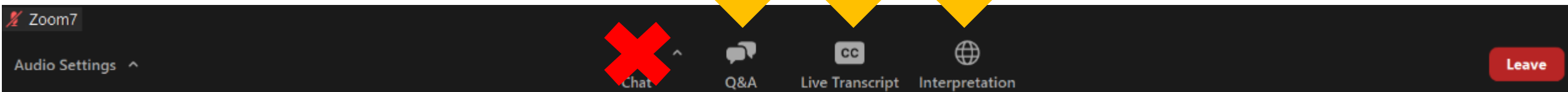# Welcome!

- Welcome! Please select your preferred language by clicking on the interpretation icon at the bottom of your screen. (English speakers must also select English.)

- ¡Bienvenido! Si necesita interpretación, seleccione el idioma que prefiera haciendo clic en el botón de interpretación situado en la parte inferior de la pantalla.

Today's session will be recorded and posted on EPA's website.

Zoom logistics

- Attendee microphones and videos have been turned off to reserve bandwidth.

- Closed captions are available by clicking the "Live Transcript" icon in your control panel.

- Submit questions through the Q&A box on the control panel.

- The chat function has been turned off.

- Email meetings@erg.com with any technical difficulties.

Bipartisan Infrastructure Law: Solid Waste Infrastructure for Recycling Grants

Program Guidance– States and Territories

Resource Conservation and Sustainability Division
U.S. EPA Office of Resource Conservation & Recovery

Last Webinar Sessions

- Provided an overview of the funding opportunity, notice of intent to participate, and answered questions

https://www.epa.gov/rcra/grants-states-and-territories

- Presentation slides (English)
- Webinar recordings (In process of being posted)
- Question and Answers document

Bipartisan Infrastructure Law: Program Overview
Solid Waste Infrastructure for Recycling State and Territory Grants

Resource Conservation and Sustainability Division
U.S. EPA Office of Resource Conservation & Recovery

Agenda

- Introduction and Goals of the Webinar
- Funding Opportunity Overview
- Allocation Formula and Factors
- Reporting Requirements
- Resources and Best Practices
- Questions and Answers

Introduction

Goals of the Webinar

1

Provide a brief overview of the Solid Waste Infrastructure for Recycling funding opportunity for **States and Territories**

2

Clarify information and answer questions about the scope, **allocation formula and factors**, and overall process

Circular Economy Strategy Series

Bipartisan Infrastructure Law (BIL)

- Solid Waste Infrastructure for Recycling Grants
- Recycling Education and Outreach Grants
- Battery Collection Best Practices and Labeling Guidelines

National Recycling Strategy

Plastics

Food Waste & Organics

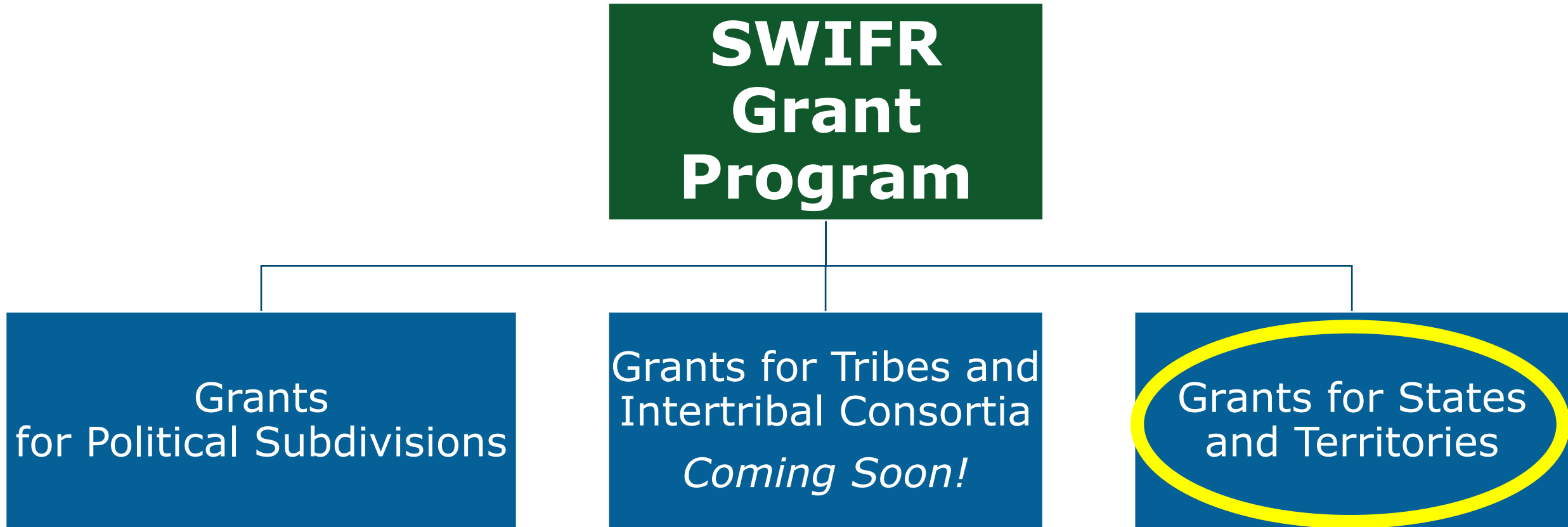Critical Minerals & Electronics

Built Environment

Textiles

Objectives:

A. Improve Markets for Recycling Commodities

B. Increase Collection and Improve Materials Management Infrastructure

C. Reduce Contamination in the Recycled Materials Stream

D. Enhance Policies to Support Recycling

E. Standardize Measurement and Increase Data Collection

EPA is establishing a 10-year vision that embraces circularity, sustainable materials management, addresses climate change, and environmental justice.

Solid Waste Infrastructure for Recycling (SWIFR) Grant Program

SWIFR Grant Program

Grants for Political Subdivisions

Grants for Tribes and Intertribal Consortia
Coming Soon!

Grants for States and Territories

Webinars for Prospective Grantees

Topic	Purpose	Date and Time (ET)
Recyling Education and Outreach Grants	Overview of RFA, eligible entities, evaluation criteria, application process, answer questions and introduce the recycling toolkit.	November 30, 2022: 2:00pm-3:00pm
		December 6, 2022: 6:00pm-7:00pm
		December 8, 2022: 1:00pm-2:00pm (for tribes)
	Focus on procurement, subawards, and answer questions	December 14, 2022: 2:00pm-3:00pm (for tribes)
		December 15, 2022: 2:00pm-3:30pm
		December 20, 2022: 6:00pm-7:00pm
SWIFR States and Territories	Overview of non-competitive program, notice of intent to participate, and answer questions	November 29, 2022: 2:00pm-3:00pm
		December 1, 2022: 6:00pm-7:00pm
	Program Guidance and Workplans	December 19, 2022: 2:00pm-3:30pm
		January 3, 2023: 6:00pm-7:00pm
SWIFR Political Subdivisions	RFA overview, eligible entities, evaluation criteria, application process, and answer questions	December 5, 2022: 2:00pm-3:30pm
		December 7, 2022: 6:00pm-7:00pm
	Focused on procurement, subawards, and questions	December 13, 2022: 2:00pm-3:30pm
		December 19, 2022: 6:00pm-7:00pm

Register for upcoming webinars:

SCAN ME

Webinar recordings and slides:

SCAN ME

Funding Opportunity Overview

Eligible Applicants

- The eligible applicants for this funding opportunity are:
  - 50 U.S. states including Washington, D.C.
  - Puerto Rico; Virgin Islands; Guam; American Samoa; Commonwealth of Northern Mariana Islands
- No more than one award will be made per state or territory.
- The EPA sent a letter and program guidance summary to 56 governors on November 15, 2022, to formally announce this funding opportunity
- All eligible entities that submitted a notice of intent to participate (NOIP) will receive grant funds

Reference: *Section III: Eligible Applicants* (page 9) of SWIFR Program Guidance

Notices of Intent to Participate Received

- Notices of Intent to Participate (NOIP) for this grant were due December 15, 2022

- 49 NOIPs received from states (plus DC)

- 5 NOIPs received from territories.

- EPA sent "receipt" notices out to states and territories to confirm receipt.

Reference: *Section IV: Notice of Intent to Participate* (pages 9-10) of SWIFR Program Guidance

Scope of Work

- Objective of the Solid Waste Infrastructure for Recycling grant program for **states and territories**: fund projects that support state and territorial solid waste management planning, data collection, and implementation needs.

- Projects may include:

  1. **Develop or update plans** to advance post-consumer materials management
  2. **Develop, strengthen, and/or implement comprehensive data collection** efforts that demonstrate progress towards the National Recycling Goal and Food Loss and Waste Reduction Goal
  3. **Support** the state-led implementation of state and territory plans to advance post-consumer materials management

- _Also included for territories only_: **equipment** and **construction**

Allowable Costs for Territories

- Territories may utilize funds for equipment and construction related costs as part of their implementation of plans. These activities could include:

  - The purchase of collection equipment
  - Compost bins and trucks
  - Containers for donating surplus food
  - Sorting and baling equipment
  - Post-consumer materials management construction
  - Equipment upgrades
  - Environmental monitoring and safety equipment for recycling facilities
  - Infrastructure to reduce single-use plastic use.

- Also, in specific circumstances, **the EPA will grant case-by-case waivers** for the purchase of land that relates to projects under this funding opportunity.

Ineligible Projects

The following activities are **ineligible** under this grant program:

- *Except for territories*, the purchase of equipment or construction costs
  - Items in the "supplies" category are considered eligible costs.
  - Equipment is defined as tangible, non-expendable, personal property having a useful life of more than one year and an acquisition cost of $5,000 or more per unit.
  - The purchase of land (except for territories on a case-by-case basis)
- The operations and maintenance costs of post-consumer materials management facilities (e.g., maintenance of a material recovery facility, operations of a composting site)
- Any prohibited or restricted activities as part of the EPA General Terms and Conditions (e.g., interest on borrowed funds, lobbying or litigation)

Reference: *Section VI: Ineligible Uses of Grant Funding* (page 12) of the SWIFR Program Guidance

Allocation Formula and Factors

Minimum Base Amount

- All eligible entities that submitted a Notice of Intent to Participate will receive at least the minimum base amount

- Minimum Base Amount: **$300,000 – $500,000**

  - The final minimum base amount depends on the total number of program participants
  - The final minimum base will be the **same** for all participating entities

Reference: *Section VII: Allocation of Funds* (pages 12-13) of the SWIFR Program Guidance

Allocation Formula

Minimum Base Amount

+

Scaling Score Amount

=

Total Funding per Entity

- Beyond the minimum base amount, the EPA will apply **five scaling scores** to increase the amount of funding any one entity can receive.

- Each factor will be ranked by EPA from 1-5 to determine the applicant's need for additional scaled funds.

- Overall, EPA supports providing more funds to entities with less-developed waste management systems in place and therefore rank lower across the five programmatic factors.

Programmatic Factors

Programmatic Factor	Scaling Score Examples: 1 (most scaled funds) – 5 (least scaled funds)
A. Legislation in place that mandates solid waste management planning or a waste characterization report	1: No legislation in place 5: Legislation currently in place
B. Depth and breadth of a state or territory's data collection efforts	1: No current data collection efforts 5: State collects frequent, robust data
C. Last updated waste management plan or report	1: No current plan or report, or it's more than 15 years old 5: Current plan or report is less than 2 years old
D. Available resources for implementing a solid waste management program (e.g., budget and personnel)	1: Small number of staff or FTE; low budget 5: Large number of staff or FTE; large budget
E. Additional programmatic factors, e.g., incorporation of environmental justice (EJ), considerations of climate change	1: No EJ, climate change, or external grant programs 5: Explicit EJ and climate change incorporated in programs

Programmatic Factors

Programmatic Factor	Scaling Score Examples: 1 (most scaled funds) – 5 (least scaled funds)
A. Legislation in place that mandates solid waste management planning or a waste characterization report	1: No legislation in place 5: Legislation currently in place
B. Depth and breadth of a state or territory's data collection efforts	1: No current data collection efforts 5: State collects frequent, robust data

- Each programmatic factor is weighed the same as one another
- Factors and scaled amounts are **cumulative** (added together)
- A lower rank (e.g., 1 or 2) indicates the need for more scaled funds
- Similar to the minimum base amount, the actual amounts of scaled funds per factor will depend on the final number of applicants

Appendix A: Optional Template of Key Workplan Elements

Section 1. Project Summary and Overall Approach
SUMMARY STATEMENT

A. BACKGROUND: *[a description of the existing program and information on the five factors listed in* Section VII. Allocation of Funds.*]*

B. ACTIVITIES: *[A description of activities to be funded.]*

C. ROLES AND RESPONSIBILITIES: *[A discussion of the roles and responsibilities of the state and any other project partners, contractors, or subrecipients.]*

D. TIMELINE AND MILESTONES: *[A timeline for the activities selected for funding, including milestones for specific tasks.]*

Section 2. Programmatic Priorities and Strategic Plan Goals
The activities described in this workplan support the grant Programmatic Priorities and EPA's FY 2022-2026 Strategic Plan:

- Goal 1, "Tackle Climate Change, Objective 1.1: Reduce Emissions that Cause Climate Change,"
- Goal 2, "Take Decisive Action to Advance Environmental Justice and Civil Rights, Objective 2.1: Promote Environmental Justice and Civil Rights at the Federal, Tribal, State, and Local Levels.," and
- Goal 6, "Safeguard and Revitalize Communities, Objective 6.2: Reduce Waste and Prevent Environmental Contamination."

Section 3. Environmental Results—Outputs and Outcomes
[This section of the workplan includes a discussion of the outputs and outcomes of the project / activities, as described in Section X: Award Administration Information*].*

Section 4. Budget Narrative
[This section of the workplan is a description of the budget and includes estimated funding amounts for each work component/task.]

- **By January 27, 2023,** applicants must submit their draft workplan (**to regional contact and cc** **SWIFR@epa.gov**) that includes their response to the five factors listed in *Section VII. Allocation of Funds*.

Reference: *Section XI: Award Process and Timeline* (page 19) and *Appendix A* (page 22) of the SWIFR Program Guidance

<u>**Revised Appendix A: Optional Template of Key Workplan Elements**</u>

Section 1. Project Summary and Overall Approach
SUMMARY STATEMENT

   A. BACKGROUND: *[a description of the existing program and information on the five factors listed in* Section VII. Allocation of Funds.*]*
      a. Description of existing program:

1. Legislation in place that mandates solid waste management planning or a waste characterization report:
2. Depth and breadth of a state's data collection efforts:
3. When a state's solid waste management plan or waste characterization report was last updated:
4. Available resources for implementing a solid waste management program (e.g., budget and personnel):
5. Additional programmatic factors such as the level of environmental justice incorporated into a program, extent of focus on source reduction efforts, climate considerations, or the existence of other grant programs:

Reporting Requirements

Reporting Requirements

| Develop and Update plans | Develop and/or enhance data collection and measurement | Implement plans |

- <u>Outputs</u> = environmental activity, effort, and/or associated work product related to an environmental goal and objective that will be produced or provided over a period of time or by a specified date.

- <u>Outcomes</u> = result, effect, or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective.

Reporting Requirements

Activities	Measures
Develop/Update plans	• Number of stakeholders and communities involved in the plan development or implementation • Number of plans developed or updated • Number of counties or territorial equivalents served by the plans • Number disadvantaged communities served by the plan • Number of temporary or permanent jobs created
Develop and/or enhance data collection and measurement	• Tons of MSW/C&D generated per material type and source in the state (e.g., plastic and food waste) • Tons of MSW/C&D collected, recycled, composted, or managed via other management pathways in the state • GHGs reduced from collection, recycling, composting, or management via other management pathways in the state • Number of temporary or permanent jobs created

Reporting Requirements Continued

Activities	Measures
Implementation of Plans	• Tons of MSW/C&D collected, recycled, composted, or managed via other management pathways in the state • Tons of MSW/C&D generated per material type and source in the state (e.g., plastic and food waste) • GHGs reduced from collection, recycling, composting, or management via other management pathways in the state • Number of disadvantaged communities served because of plan implementation • Number of temporary or permanent jobs created

Reference: *Section IX: Scope of Work* (pages 13-15) of the SWIFR Program Guidance

Reporting Requirements

- **Semi-annual reports** are due **30 days** after end of the reporting period and must include:
  - Actual work performed compared to that described in the workplan
  - Description of project tasks, objectives, and accomplishments (including reporting on outcomes and outputs)
  - A summary of expenditures to date
  - Upcoming events/assistance required
  - Project challenges

- **Final reports** are due **120 days** after end of the project/budget period and must include:
  - A summary of the work completed
  - A description of project tasks, objectives, and accomplishments (including reporting on outcomes and outputs)
  - Any deliverables produced (e.g., new or updated plans, data reports

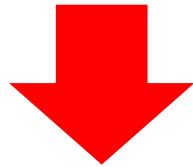# Resources and Best Practices

General EPA Grant Requirements

- ***Register Now on SAM.gov!*** These registrations are taking a month or more to complete!

- You must have an active SAM registration in www.SAM.gov to apply for the grant.

- Once your SAM.gov account is active, you must register in www.Grants.gov.
  - Final reports must be submitted through this portal.
  - **Draft Workplan is NOT submitted in grants.gov**

Estimated Timeline

November 2022

EPA sends a letter to states & territories asking for them to indicate if they will be participating in the program

January 2023

States & territories develop their draft workplan that includes the factors to further refine the allocation

March - May 2023

States & territories further develop their workplans

Summer 2023

States & territories are awarded their cooperative agreements

States & territories respond to the EPA's letter with a notice of intent to participate

December 2022

EPA develops the final allocation and sends it to states & territories

April 2023

States & territories submit the rest of the application (final budget, forms, etc., and the revised workplan)

May 2023

Useful Links

- *The EPA SWIFR State/Territory Website:*
  - *https://www.epa.gov/rcra/grants-states-and-territories*

- *Prepare for a Grant Application Website:*
  - *https://www.epa.gov/rcra/prepare-grant-application*

- *The EPA Grants Management Training for Applicants and Recipients:*
  - *www.epa.gov/grants/epa-grants-management-training-applicants-and-recipients*

EPA Regional Representatives

EPA Region	EPA Regional Representative	Email Address
Region 1: Conn., Maine, Mass., N.H., R.I., Vt.	Frank Gardner Christine Beling	Gardner.Frank@epa.gov Beling.Christine@epa.gov
Region 2: N.J., N.Y., Puerto Rico, U.S. Virgin Islands	Dale Carpenter	Carpenter.Dale@epa.gov
Region 3: Del., Washington, D.C., Md., Pa., Va., W.Va.	Melissa Pennington	Pennington.Melissa@epa.gov
Region 4: Ala., Fla., Ga., Ky., Miss., N.C., S.C., Tenn.	Barbara Alfano Alyssa Kuhn	Alfano.Barbara@epa.gov Kuhn.Alyssa@epa.gov
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Region 7: Iowa, Kan., Mo., Neb.	Jim Callier Gayle Hubert	Callier.James@epa.gov Hubert.Gayle@epa.gov
Region 8: Colo., Mont., N.D., S.D., Utah, Wyo.	Virginia Till Patrick Wauters	Till.Virginia@epa.gov Wauters.Patrick@epa.gov
Region 9: Ariz., Calif., Hawaii, Nev., American Samoa, Guam, Northern Mariana Islands	John Katz Timonie Hood	Katz.John@epa.gov Hood.Timonie@epa.gov
Region 10: Alaska, Idaho, Ore., Wash.	Melissa Winters Domenic Calabro	Winters.Melissa@epa.gov Calabro.Domenic@epa.gov

Reference: *Section XIII: Resources* (page 21) of the SWIFR Program Guidance

Questions

THANK YOU!